

# Mail Stop RCE

Modified PTO/SB/30 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

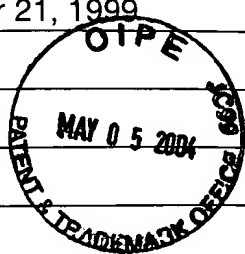
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IPW Rce/2877\$



<p align="center"><b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b></p> <p>Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995 See The American Inventors Protection Act of 1999 (AIPA).</p>	Application Number	09/400,865
	Filing Date	September 21, 1999
	First Named Inventor	CANINI
	Group Art Unit	2877
	Examiner Name	S. Nguyen
	Attorney Docket Number	3572-6



This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See *Changes to Application Examination and Provisional Application Practice*, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply 05/06/2004 SSESHE1 00000144 09400865
- ii. ☐ Affidavit(s)/Declaration(s) 01 FC:1801 770.00 OP
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 35 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ Applicant claims "small entity" status.
- b. ☒ Fees are attached as calculated below:
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) \$ 770.00
- ii. ☐ Petition is made to extend the due date \_\_\_\_\_ months (less \_\_\_\_\_ months previously paid) \$
- iii. ☐ Other \_\_\_\_\_ \$
- c. ☒ Check in the amount of \$770.00 enclosed
- d. ☐ Payment by credit card (Form PTO-2038 enclosed)
- e. ☒ The Director is hereby authorized to charge any deficiency in the fee(s) filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm), to Deposit Account No. 14-1140

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	H. Warren Burnam, Jr.	Registration No. (Attorney/Agent)	29,366
Signature		Date	May 5, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Allowed: February 6, 2004

CANINI et al

Atty. Ref.: 3572-6; Confirmation No. 3921

Appl. No. 09/400,865

TC/A.U. 2877

Filed: September 21, 1999

Examiner: S. Nguyen

For: AN OPTICAL DEVICE AND A METHOD FOR AIMING AND VISUALLY  
INDICATING A READING AREA

\* \* \* \* \*

May 5, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT/SUBMISSION FOR RCE**

This Amendment serves as a submission pursuant to 37 C.F.R. §1.114(c) in  
conjunction with the filing of a request for continued examination.